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OSMM&N File No. 218354US0

Dept.: PP

By: NFO/rm

Serial No. 10/050,962

In the matter of the Application of: Hiroshi KAWAI, et al.

For: METHOD FOR PRODUCING ETHYLENE-VINYL ALCOHOL
COPOLYMER RESIN COMPOSITION

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement ☒ PTO-1449
- ☒ Cited References (14)
- ☐ _____ Search Report
- ☐ Statement of Relevancy
- ☐ English Abstracts, Concise Explanation, English Translation, Partial English Translation ()
- ☐ IDS/Related/List of Related Cases ☐ Cited Pending Applications ()
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time



Due date: 04/22/02

Docket No. 218354US0/rm



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroshi KAWAI, et al.

SERIAL NO: 10/050,962

GAU: 1732

FILED: January 22, 2002

EXAMINER:

FOR: METHOD FOR PRODUCING ETHYLENE-VINYL ALCOHOL COPOLYMER RESIN COMPOSITION

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

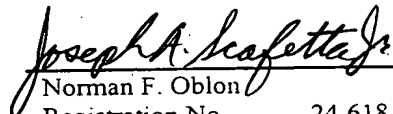
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 218354US0	SERIAL NO. 10/050,962				
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Hiroshi KAWAJ, et al.					
		FILING DATE January 22, 2002	GROUP 1732				
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,384,187	01/24/95	T. UEMURA, et al.			
	AB	2,835,659	05/20/58	J.E. GUILLET, et al.			
	AC	3,807,922	04/30/63	W.H. WHITTINGTON, et al.			
	AD	4,366,296	12/28/82	S. KITAGAWA, et al.			
	AE	5,322,866	06/21/94	J.M. MAYER, et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	5-9334	01/19/93	JAPAN (with corr. US 5,384,187)			X
	AP	40 30 399	04/02/92	GERMANY (with English Abstract)			X
	AQ	50-44281	04/21/75	JAPAN (with English claim)			
	AR	30 21 273	01/08/81	GERMANY (with corr. US 4,366,296)			X
	AS	5-39392	02/19/93	JAPAN (with English Abstract)			X
	AT	10-158412	06/16/98	JAPAN (with English Abstract)			X
	AU	2000-43038	02/15/2000	JAPAN (with English Abstract)			X
	AV	2000-191874	07/11/2000	JAPAN (with English Abstract)			X
	AW	0 408 503	01/16/91	EUROPE			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AX						
	AY						
	AZ						
Examiner					Date Considered		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.